

DT07 Rec'd PCT/PTO 17 DEC 2004 *PCT*

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Louis S. Sorell
December 13, 2004

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/505,207
Applicant : Mark Plehiers
International Appl. No. : PCT/EP03/01990
International Filing Date : February 26, 2003
Title : Process for the Preparation of Trihydrocarbylsilylated
Carboxylate Monomers
Attorney Docket No. : 104991-146520

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings to the attention of the Examiner the documents listed on the attached PTO/SB/08 form. Copies of the listed documents are enclosed. An English abstract is attached to each of the Japanese patent documents.

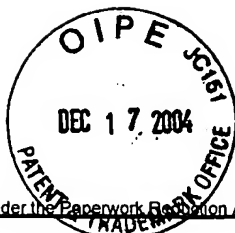
Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning a copy to Applicant.

This Information Disclosure Statement is filed before the mailing of a first Office action on the merits and therefore, no fee is necessary. However, the Commissioner is still authorized to charge any required fees to Goodwin Procter LLP Deposit Account No. 06-0923.

Respectfully submitted,



Louis S. Sorell (Reg. No. 32,439)
Goodwin Procter LLP
599 Lexington Avenue
New York, NY 10022
(212) 813-7421



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 10

Complete if Known

Application Number.	10/505207
Filing Date	
First Named Inventor	Mark Plehiers
Art Unit	
Examiner Name	
Attorney Docket Number	104991-146520

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 3,403,169	09/24/1968	Rudner et al.	
	2	US- 4,593,055	06/03/1986	Gitlitz et al.	
	3	US- 4,594,365	06/10/1986	Russell et al.	
	4	US- 4,687,792	08/18/1987	Russell, et al.	
	5	US- 4,957,989	09/18/1990	Saitoh	
	6	US- 4,997,944	03/05/1991	Zeldin et al.	
	7	US- 5,510,430	04/23/1996	Rubinsztajn et al.	
	8	US- 5,767,171	06/16/1998	Matsubara et al.	
	9	US- 5,795,374	08/18/1998	Itoh et al.	
	10	US- 6,110,990	08/29/2000	Nakamura et al.	
	11	US- 6,172,132	01/09/2001	Nakamura et al.	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	12	EP 0714957	06/05/1996	Matsubara et al.		
	13	EP 0802243	10/22/1997	Itoh et al.		
	14	DE 261503	09/30/1911	Knapp		
	15	DE 1958954	05/27/1971	Steslish		
	16	FR 2739850	04/18/1997	Michel et al.		

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Sheet 2 of 10

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	17	WO 84/02915	08/02/1984	Russell et al.		
	18	JP 01-132668	05/25/1989	Tamio et al.		
	19	JP 01-146969	06/08/1989	Nobuhiro et al.		
	20	JP 5-306290	11/19/1993	Masaaki et al.		

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Examiner Name	
Attorney Docket Number	104991-146520

Sheet	3	of	10
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U. S. PATENT DOCUMENTS

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	21	JP 05-077712	03/30/1993	Kazumi et al.		
	22	JP 07-018216	01/20/1995	Yoshihiro et al.		
	23	JP 10-195084	07/28/1998	Junji et al.		
	24	JP 10-212293	08/11/1998	Masayusu		
	25	JP 63-118381	05/23/1988	Shigeru et al.		
	26	JP 63-215780	9/8/1988	Goro et al.		

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Sheet 4 of 10

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First Named Inventor	Mark Plehiers
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Attorney Docket Number	104991-146520

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	27	JP 04-134135	11/19/1993	Maasaki et al.		
	28	JP 06-247987	09/06/1994	Toru et al.		
	29	JP 09-018507	08/11/1998	Junji et al.		
	30	JP 09-157278	06/17/1997	Ryotaro		

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		Application Number	10/505,207		
		Filing Date			
		First Named Inventor	Mark Plehiers		
		Art Unit			
		Examiner Name			
Sheet	5	of	10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	31	A. Chapman & A.D. Jenkins, J. Polym Sci. Polym. Chem. Edn. vol. 15, p. 3075-78 (1977)	
	32	J. Valade, Compute Rendu de l'Academie des Sciences 246, p. 952-954 (1958)	
	33	DATABASE CROSSFIRE BEILSTEIN, Database accession no. Reaction ID 673962 XP002205020	
	34	DATABASE CROSSFIRE BEILSTEIN, Data accession no. BRN 2322813 XP002240418	
	35	DATABASE CROSSFIRE BEILSTEIN, Data accession no. BRN 2351835 XP002240423	
	36	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2412288 XP002240424	
	37	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2409969 XP002240425	
	38	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 4369442; BRN 4368137 XP002240426	
	39	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2254838 XP002240427	
	40	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 1368535 XP002240428	

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Application Number 10/505,207

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First Named Inventor Mark Plehiers

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Attorney Docket Number 104991-146520

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

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	41	BEILSTEIN Registry No. 4667021	
	42	BEILSTEIN Registry No. 2238382	
	43	BEILSTEIN Registry No. 4370688	
	44	BEILSTEIN Registry No. 4372078	
	45	BEILSTEIN Registry No. 4859331	
	46	BEILSTEIN Registry No. 4376133	
	47	BEILSTEIN Registry No. 4275597	
	48	BEILSTEIN Registry No. 4274517	
	49	BEILSTEIN Registry No. 4189160	
	50	BEILSTEIN Registry No. 3547154	

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	62	BEILSTEIN Registry No. 7632735	
	63	BEILSTEIN Registry No. 5429839	
	64	BEILSTEIN Registry No. 5018427	
	65	BEILSTEIN Registry No. 2869784	
	66	BEILSTEIN Registry No. 2774864	
	67	BEILSTEIN Registry No. 2739708	
	68	BEILSTEIN Registry No. 2423470	
	69	BEILSTEIN Registry No. 2423471	
	70	BEILSTEIN Registry No. 2423472	

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	71	BEILSTEIN Registry No. 2982647	
	72	BEILSTEIN Registry No. 2995148	
	73	BEILSTEIN Registry No. 2981205	
	74	BEILSTEIN Registry No. 2981206	
	75	BEILSTEIN Registry No. 2977557	
	76	BEILSTEIN Registry No. 3004652	
	77	Green et al., "Protective Groups in Organic Synthesis", pp. 112-117, 428-29 (1999).	
	78	Azipurua et al., "Reagents and Synthetic Methods; 43. A New Practical Preparation of Trimethylsilyl Trifluoromethanesulfonate," Synthesis (Communications), Vol. 2, pp. 206-07 (Feb. 1985)	
	79	Trafimov et al., "Hydrolisation of endo-cis-5-Norbornene- 2, 3-dicarboxylic Anhydride," Russ. J. of Gen. Chem., Vol. 68, No. 4, pp. 572-77 (1998).	

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	80	Kopylov et al., "Redistribution Reaction of Organodisiloxanes with Different Substituents at the Silicon Atom," Russ. J. of Gen. Chem., Vol. 59, No. 11, pp. 2515-20 (1989).	
	81	Voronkov et al., "Reaction of Hexaalkyldisiloxides with Halogenides of Aluminum. New Method of Synthesis of Trialkylhalogensilanes," Reports of the USSR Academy of Sciences, Vol. 84, No. 5, pp. 959-61 (1952).	
	82	Pray et al., "Trimethylhalosilane Preparations," JACS, Vol. 70, pp. 433-34 (1948).	
	83	Sommer et al., "Reactions of Trimethylsilyl Sulfate," JACS, Vol. 70, pp. 445-47 (1948).	
	84	Hofle et al., "4-Dialkylamonopyridines as Highly Active Acylation Catalysts," Angewandte Chemie Int'l Ed., Vol. 17, pp. 569-83 (1978).	
	85	Scriven, "4-Dialkylamonopyridines: Super Acylation Catalysts," Chem. Soc. Rev., Vol. 12, pp. 129-61 (1983).	
	86	Lin et al., "Kinetics of Trans-Cinnamic Anhydride Reactions Catalyzed by Pyridine, 4-Dimethylaminopyridine, and N-Methylimidazole," J. Pharm. Sci., Vol. 70., No. 3, pp. 235-38 (1981).	

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		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
		Examiner Name	
Sheet	10	of	10
		Attorney Docket Number	104991-146520

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	87	Tortolani et al., "Prodrugs of 2', 3' - Dihydro - 3' - deoxythymidine (D4T): Synthesis, Antiviral Activity, and Rapid Pharmacokinetic Evaluation," J. Pharm. Sci., Vol. 83, No. 3, pp. 339-43 (1994).	
	88	Rubinstajn et al., "New Transacylation Catalysts: Silanes and Siloxanes Functionalized with 4-(Dialkylamino) pyridine Moieties", Macromolecules, Vol. 23, pp. 4026-27 (1990).	
	89	Swint et al., 5, 5' - (1, 1, 3, 3 - tetramethylidi siloxane - 1, 3-dily) bisbisyclo [2.2.1] et al., "A disiloxane equilibration approach to the preparation of	
		characterization of heptane - 2, 3 - dicnboxylic anhydride," J. Organometallic Chem., Vol. 402, pp. 145-53 (1991).	
	90	Pinnick et al., "A New Preparation of Trimethylsilyl Ethers," Tetrahedron Letters, No. 44, pp. 4261-262 (1978).	
	91	Scheim et al., "Synthesis of siloxanes X11. Cleavage of Siloxanes 6y hydrogen chloride," J. Organometallic Chemistry, Vol. 356, pp. 141-49 (1988).	
	92	March, "Advanced Organic Chemistry Reactions, Mechanisms and Structures," (4th ed. 1992), pp. 334, 377-78, 393.	

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